

Efficacy of a multicomponent singing intervention on communication and psychosocial functioning in chronic aphasia: a randomized controlled crossover trial

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ABSTRACT

The ability to produce words through singing can be preserved in severe aphasia, but the benefits of group-based singing rehabilitation in aphasia are largely unknown. Our aim was to determine the efficacy of a multicomponent singing intervention on communication and speech production, emotional-social functioning, and caregiver well-being in aphasia. Fifty-four patients with acquired brain injury and chronic aphasia and their family caregivers (n=43) were recruited. Using a crossover randomized controlled trial design, participants were randomized to two groups that received a 4-month singing intervention either during the first or second half of the study in addition to standard care. The intervention comprised weekly group-based training (including choir singing and group-level melodic intonation therapy) and tablet-assisted singing training at home. At baseline, 5-month, and 9-month stages, patients were assessed with tests and questionnaires on communication and speech production, mood, social functioning, and quality of life and family caregivers with questionnaires on caregiver burden. All participants who participated in the baseline measurement (n=50) were included in linear mixed model analyses. Compared to standard care, the singing intervention improved everyday communication and responsive speech production from baseline to 5-month stage, and these changes were sustained also longitudinally (baseline to 9-month stage). Additionally, the intervention enhanced patients' social participation and reduced caregiver burden. This study provides novel evidence that group-based multicomponent singing training can enhance communication and spoken language production in chronic aphasia as well as improve psychosocial wellbeing in patients and caregivers. URL: <https://www.clinicaltrials.gov>, Unique identifier: NCT03501797

Keywords: singing, rehabilitation, aphasia, communication, speech production

Abbreviations: AAC = Augmentative and Alternative Communication, ADL = activities of daily living, BDAE = Boston Diagnostic Aphasia Examination, BIC = Schwarz's Bayesian Criterion, CAL = Communicative Activity Log, CES-D = Center for Epidemiological Studies – Depression, CONSORT = Consolidated Standards of Reporting Trials, FC = family caregiver, FDR = False discovery rate, GHQ-12 = General Health Questionnaire, IADL = instrumental activities of daily life, ITT = intention-to-treat, LMM = linear mixed effects model, MCID = minimal clinically important difference, MIT = melodic intonation therapy, PP = per-protocol, PWA = person with aphasia, QoL = quality of life, RCT = randomized controlled trial, SIS = Stroke Impact Scale, SPS = Social Provision Scale, TIDieR = Template for Intervention Description and Replication, T1 = timepoint 1 (baseline), T2 = timepoint 2 (5-month), T3 = timepoint 3 (9-month), WAB = Western Aphasia Battery, WMS-III = Wechsler Memory Scale III, ZBI-22 = Zarit Burden Interview

INTRODUCTION

Aphasia is a highly debilitating condition that impairs communication abilities, causing social isolation and decreasing emotional wellbeing¹. The leading cause of aphasia is stroke: about 40% of stroke survivors experience aphasia and in half of them the communication impairment persists after one year post-stroke². Aphasia reduces quality of life (QoL) more than other stroke-induced deficits³ or many severe chronic illnesses, including cancer and Alzheimer's disease⁴. Considering the high prevalence of stroke and the sustained burden caused by aphasia on the survivors, their families, and the entire society, there is a pressing need for new effective, easily applicable, and scalable treatments that target both the communicative and psychosocial needs of persons with aphasia (PWAs). This is particularly true at the chronic stage when PWAs typically no longer receive active treatment, even though it can be effective also at this stage^{5,6}, and often experience social exclusion⁷ as well as for their family caregivers (FCs), who face high burden and are at risk of developing depression and anxiety⁸.

Music is a versatile and effective rehabilitation tool, which can support motor, cognitive and emotional recovery after stroke⁹⁻¹¹, but which has thus far not been translated effectively to clinical practice in treating chronic post-stroke aphasia. In aphasia, the ability to vocalize through singing is often preserved¹² and singing can help the motor production of words for example by slowing down the rate of vocal production, entraining it to the musical rhythm, and increasing the connectedness between syllables/words¹³. Various singing-based aphasia rehabilitation methods have been developed, including the melodic intonation therapy (MIT) where the production of formulaic speech phrases is trained together with a therapist using melodic intoning (singing) and rhythm (hand tapping), following a protocol that progresses from singing to the production of speech with more natural prosody^{14,15}. MIT has shown promise in enhancing functional communication and expressive language in aphasia^{16,17}, although larger randomized controlled trials (RCTs) are still needed to provide definite evidence on its clinical efficacy¹⁸. Notably, these methods have mostly been applied in individual-level rehabilitation, which not only requires extensive personnel resources, but also overlooks the emotional, communicative, and social-interactive power of singing when done in a group.

Group-based singing is a viable and multifaceted approach for aphasia rehabilitation, because it combines verbal production with expressive music making, enjoyable social interaction, and peer support. The emotional, social, and cognitive benefits of choir singing have been recognized in healthy older adults^{19,20}, among whom it has become a very popular activity. In PWAs, choir singing has thus far been explored in three small-scale pilot studies. In a within-subject study of 9 PWAs, Tamplin et al.²¹ reported a trend toward reduction of psychological distress after a 20-week community choir intervention (2-hour sessions once a week) comprising singing familiar songs, vocal exercises and socialization and led by a music therapist and assisted by volunteers. Qualitatively, positive effects of the choir intervention on confidence, peer support, mood, motivation and communication were observed in interviews of PWAs²¹. In a three-arm pilot study of 15 PWAs, Zumbansen et al.²² found no significant improvement in functional communication, expressive language, mood or QoL after a 26-week choir intervention (2-hour sessions once a week) compared to a control intervention (drama) or standard care, but reported a correlation between attendance to social activities and improvement in functional communication. Recently, Tarrant et al.²³ reported a two-arm feasibility study of 36 PWAs in which a 10-week group singing intervention (90-minute sessions once a week) led by a community musician and assisted by a PWA volunteer was found to be acceptable and safe for PWAs. These studies provide proof of concept that group singing is a feasible intervention for PWAs and suggest that its clinical efficacy should be explored in a larger clinical trial²⁴.

1 In summary, previous studies suggest that MIT and choir singing are promising tools for
2 enhancing communicative and psychosocial functioning, respectively, but their synergistic
3 combination within the same group intervention protocol has never been explored. Likewise,
4 previous studies have not considered the role of FC participation and added home training in
5 PWA singing interventions. We developed a new multicomponent singing intervention for
6 PWAs, which (i) combines choir singing and MIT adapted for group-level training to target
7 both communicative and psychosocial outcomes, (ii) is aimed both for PWAs and their FCs
8 to support their interaction and provide enjoyable joint activity and peer support to both, to
9 reduce caregiver burden and to facilitate the translation of practiced functions and skills to
10 the everyday life of the PWAs and (iii) includes tablet-assisted singing training at home to
11 increase the intensity of the intervention and enable the learning of the choir songs. The
12 aims of the multicomponent intervention were to improve communication and spoken
13 language production and emotional, social, and functional outcomes in PWAs and
14 psychological wellbeing in FCs.

15 In order to determine the clinical efficacy of the intervention, we performed a single-blind
16 crossover RCT in PWAs (N=54) and their FCs (n=43) comparing the multicomponent singing
17 intervention to standard care from baseline (T1) to 5-month (T2) and 9-month (T3) follow-up
18 stages. We hypothesized that compared to standard care the multicomponent singing
19 intervention would enhance communication skills in the PWAs as the primary outcome (from
20 T1 to T2) as well as lead to improvements in spoken language production, verbal memory,
21 mood, and QoL in the PWAs and in caregiver burden in the FCs as secondary outcomes.

22 MATERIALS AND METHODS

23 Participants and study design

24 Fifty-four PWAs with a history of cerebrovascular accident (n=53) or traumatic brain injury
25 (n=1) leading to aphasia and their FCs (n=43) were successfully recruited from the Helsinki
26 region during 2017-2019 through patient organizations (Helsinki-Uusimaa Stroke
27 Association, Finnish Brain Association) and clinical speech therapists. The FCs were
28 spouses (n=22), children (n=8), siblings (n=2), parents (n=4) and others (n=7). The recruiting
29 psychologists interviewed all PWAs interested in the study for evaluating eligibility. The
30 inclusion criteria were 1) age \geq 18; 2) Finnish-speaking; 3) time since stroke/injury $>$ 6 months;
31 4) at least mild aphasia [Boston Diagnostic Aphasia Examination (BDAE) Aphasia Severity
32 Rating Scale²⁵ score \leq 4 (preliminary assessment based on recruitment interview)]; 5) no
33 subjective hearing deficit; 6) cognitive ability to give an informed consent; 7) no
34 neurological/psychiatric co-morbidity or substance abuse; 8) ability to produce vocal sound
35 through singing/humming. The study was approved by the Ethics committee of the Helsinki-
36 Uusimaa Hospital District, and written informed consents were obtained from all PWAs and
37 FCs.

38 The study was implemented using a crossover RCT in order to enable access to treatment
39 for all participants and maximize the data on intervention experiences. The intervention and
40 the study are reported according to the TIDieR²⁶ and CONSORT²⁷ guidelines. Figure 1
41 shows a flowchart of the study design. In two data collection waves (2018: n=33, 2019:
42 n=21), the PWA participants were randomly assigned to two groups (AB/BA, A=intervention,
43 B=control) stratified for aphasia severity (preliminary BDAE severity level), FC's participation
44 in group sessions, sex, age, and time since stroke/injury. The randomization was performed
45 for matched pairs using an online random number generator (<https://www.random.org>) by a
46 researcher not involved in data collection.

1 The outcome measures, including neuropsychological and language tests and
2 questionnaires, were performed at baseline (T1), 5-month mid-point (T2), and 9-month
3 endpoint (T3). Additionally, MRI and EEG data were gathered from a subgroup of PWAs, in
4 addition to which quantitative and qualitative feedback was collected from PWAs and FCs
5 after the intervention period; these results will be reported separately. AB received the
6 singing intervention during the first 16-week period (T1-T2) and BA received it during the last
7 16-week period (T2-T3). Throughout the trial, both groups received standard care,
8 comprising the standard speech therapy, neuropsychological rehabilitation and
9 physical/occupational therapy provided in public health care.

12 The drop-out rates and reasons are presented in Figure 1. Some PWAs discontinued the
13 study due to health problems and there were two deaths not attributed to the study. One
14 PWA reported that group singing triggered tinnitus, no other adverse effects or harms were
15 reported by the PWAs or FCs.

17 Additional 23 PWAs were recruited from Southwest Finland for a third study wave and
18 underwent T1 in January-February 2020, but the trial was stopped due to the COVID-19
19 pandemic in March 2020 and their data had to be excluded from the present study. The
20 sample size was determined based on previous music-intervention studies and practical
21 possibilities in finding participants.

24 Intervention

26 The 16-week multicomponent singing intervention was a combination of group training (1
27 session/week, 1.5 h/session, total 24 h) held at a local aphasia centre
28 (<https://www.afasiakeskus.fi/>) and home training (target: 3 sessions/week, 30 min/session,
29 total 24 h). Implemented by a two-person team (choir conductor and music therapist, authors
30 E-RS and SL), the intervention was held separately for four groups of participants (two AB
31 groups and two BA groups; 10-14 PWAs and 6-10 FCs per group). Thirty-two (AB=14,
32 BA=18) FCs joined the group sessions; the rest participated only as informants. To enhance
33 treatment conformity, the intervention was administered to all groups by the same two-
34 person team, in accordance with a priori fixed protocol described below.

37 *Group training.* Group training sessions comprised 60 minutes of singing training for PWAs
38 and FCs and 30 minutes of group-based MIT for PWAs. The singing training was
39 implemented in an encouraging group environment and consisted of breathing and vocal
40 exercises and voice warm-ups (20 min/session), aimed at strengthening voice intensity and
41 syllable-level vocal production, and group singing with choral elements (40 min/session).
42 The group training was implemented in a spacious lobby area, with easy wheel chair access
43 and chairs arranged in a semi-circle around the choir conductor and a screen to which song
44 lyrics were projected during training. The song repertoire (10 songs) mainly consisted of
45 highly familiar Finnish popular and folk songs (for facilitating word retrieval and recall) and a
46 few novel songs (for learning new verbal material), accompanied with piano during the
47 training. The songs were specially arranged for PWAs and FCs, with keys selected for
48 novice singers and tempos slowed down to ease word production, and included also
49 polyphonic arrangements where PWAs sang melody and FCs sang second melody. After
50 each group training session, there was a short voluntary social get together with coffee/tea,
51 which most participants took part in. Each group rehearsed to perform the songs for a small
52 concert held for family and friends in the last session, bringing a goal-oriented element to the
53 training.

57 Adapted from the original MIT^{14,15}, the group-based MIT (30 min/session) comprised singing-
58 based training of formulaic speech phrases, incorporating the key elements of MIT (simple
59 melodic structure, simultaneous tapping with the non-paretic (left) hand, stepwise
60

1 progression from modelling and unison production to repetition). In our adapted MIT
2 protocol, the training followed a simple 5-step cycle for each phrase: (1) thinking (mental
3 preparation), (2) sung production with melodic intonation, (3) sung production with melodic
4 intonation and rhythmic pacing (hand tapping for stressed syllables), (4) spoken production
5 with rhythmic pacing, and (6) natural spoken production (without pacing). In this cycle, the
6 therapist first provides a model for each step, which the PWA then performs. Augmentative
7 and Alternative Communication (AAC) pictures depicting the phrases were also used as
8 visual aids during the MIT training.
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11 The group-based MIT was used in 14 sessions (excluding first and last session). In the first
12 seven sessions, the PWAs rehearsed a set of 10 formulaic phrases with the music therapist
13 using the MIT protocol while the FCs trained the second melody of polyphonic songs with
14 the choir conductor in another room. In the latter seven sessions, once the PWAs had
15 internalized the MIT protocol, the FCs joined the PWAs and they trained together using MIT
16 in reciprocal dialogue situations themed around everyday life (e.g., having dinner with
17 guests, cleaning the house). For this, the participants were split to two groups with lead
18 singers. In the first group, the lead singer produced a melodically intoned phrase (e.g.,
19 “Welcome!”) which the first group then repeated. After this, the lead singer of the second
20 group produced a dialogic response to the first phrase (e.g. “Thank you!”), correspondingly
21 repeated by the second group. Using this cycle, the groups had short conversations, aimed
22 at translating the MIT protocol to daily life.
23

24
25 *Home training.* Singing in a choir usually entails self-training of the song material at home.
26 To facilitate the learning of the song material and to increase the intensity of the training, a
27 tablet-based training application called Singalonger was developed together with a Finnish
28 company (Outloud). Singalonger was used on a tablet computer (Samsung Galaxy Tab 4)
29 and a headset microphone (Logitech H151), provided to each participant. The application
30 included all the songs that were in the choir repertoire and had three options for training aids
31 that the participants could select when singing along to each song: (i) an instrumental
32 auditory model or a sung (female/male) auditory model, (ii) karaoke-type printed lyrics
33 running on the screen (in time with melody), and (iii) a video showing the mouth movements
34 of the model female/male singer (helping to imitate the movements). Singalonger
35 automatically recorded the singing of the participant and analyzed the pitch and length of
36 each sung note, which enabled providing online feedback (star-rating) to encourage and
37 motivate training. The patients were trained in using the tablet and application in the first
38 group session and then and instructed to train the song material by themselves using
39 Singalonger three times a week (30 min/session) for the following 16 weeks. The
40 participants also received easy-to-follow pictorial instructions and had technical support
41 available throughout the intervention on how to use the tablet and application.
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46 Outcome measures

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48 The neuropsychological and language assessments were conducted by trained
49 psychologists (authors S.-T.S., A.P. and E.P.) at the Cognitive Brain Research Unit. All
50 spoken language production tasks were recorded. The questionnaires were sent prior to the
51 testing session to PWAs and FCs and were returned to the psychologist. FCs were
52 instructed to help the PWA only in reading the questions without giving guidance in
53 answering. The researchers conducting the assessments and analyzing the data were
54 blinded to the group allocation of the participants until the final statistical analysis when the
55 AB/BA groups were compared to each other. All outcome measures are summarized in
56 Table 1.
57

58
59 Our primary outcome was change in communication ability from T1 to T2. Secondary
60 outcomes were change in communication ability from T1 to T3 and changes in spoken

1 language production and verbal skills and emotional, social and functional outcome from T1
2 to T2 and T1 to T3.
3

4 *Communication ability.* Communication ability was measured with the Communicative
5 Activity Log (CAL)²⁸ and the Communication subscale of the Stroke Impact Scale 3.0 (SIS)²⁹
6 which were both administered to PWAs (self-report) and FCs (informant-report). These
7 measures were chosen to capture changes in daily communication induced by the
8 intervention in an ecologically valid way. In order to gain a comprehensive picture of
9 communication skills in the sample, independent of aphasia severity level, and to pool
10 measures to reduce the amount of analysis and the risk of type I errors³⁰, we calculated a
11 common Communication index by averaging the percentage scores (score/total*100) of the
12 PWAs and FCs in the CAL Communication total score and SIS Communication subscale
13 score (reversed to match the CAL).
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16 *Spoken language production and verbal skills.* Spontaneous speech was assessed with the
17 Spontaneous speech index of the Western Aphasia Battery (WAB)³¹. The Repetition and
18 Naming indices of WAB were used to assess more automatic and stimulus-dependent
19 spoken language production. They were averaged together to form a Responsive speech
20 index, similar to previous studies³². At baseline, we also calculated the WAB Aphasia
21 Quotient (AQ)³¹, indicating the overall severity level of the aphasia, from the Spontaneous
22 speech, Repetition, Naming, and Comprehension (estimated based on the Sequential
23 commands subtest) indices. Additionally, we evaluated motor speech production (apraxia of
24 speech) using the Articulatory agility subtest of BDAE²⁵ and a verbal memory index from the
25 average of the percentage scores (score/total*100) of the Logical Memory and Word Lists
26 subtests of Wechsler Memory Scale III (WMS-III)³³, and the Finnish KAT verbal working
27 memory task³⁴. Parallel versions of the memory tasks were used for the T1-T2-T3
28 measurements, and their orders were randomized and balanced between AB/BA groups.
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32 *Emotional, social and functional outcomes.* Functional impairment of PWAs was assessed
33 with four SIS subscales: Physical functioning (average of ADL/IADL, Strength, Hand
34 function, and Mobility), Emotion, Memory and thinking, and Participation and role function.
35 The percentage scores of the PWAs and FCs were averaged together. PWAs' self-evaluated
36 mood (depression) and social support were measured using the percentage scores of the
37 Center for Epidemiological Studies – Depression (CES-D)³⁵ and Social Provision Scale
38 (SPS)³⁶, respectively. The General Health Questionnaire (GHQ-12)³⁷ and Zarit Burden
39 Interview (ZBI-22)³⁸ were administered to the FCs and their average percentage score was
40 used as an index of caregiver burden.
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43 **Statistical analysis**

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45 The statistical analyses were conducted using IBM SPSS Statistics 26.

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47 *Intention-to-treat (ITT) analysis.* Main analyses were conducted using linear mixed effects
48 model (LMM) on the whole sample of participants who participated in the first measurement
49 (n=50). This approach utilizes all available data according to the ITT principle³⁹. Time x
50 Group interactions were analyzed between T1-T2 using repeated measures analysis
51 (restricted maximum likelihood method), in which Group and Time were included in the
52 model as fixed effects and within-subject variation as a random effect. Compound symmetry
53 was selected as the covariance structure based on Schwarz's Bayesian Criterion (BIC). For
54 measures yielding significant effects in LMM, the long-term effects were further investigated
55 within both AB and BA groups over T1-T3. Direct comparisons between groups were not
56 conducted between T2-T3 due to the possible carry-over effect in the AB group. Effect sizes
57 were approximated using the repeated measures ANOVA, because the LMM procedure
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1 does not produce effect sizes in SPSS, and the chosen LMM model and covariance
2 structure were very similar with traditional ANOVA.
3

4 *Per-protocol (PP) analysis.* To evaluate the sensitivity of our results in a dataset of subjects
5 who adhered to study protocol and participated in all measurement points (between T1-T2:
6 AB=20, BA=26), we performed a PP analysis for the significant measures from the LMM
7 analysis using repeated measures ANOVA. One PWA who participated in T1-T2
8 measurements, but dropped out of the intervention, was excluded from the analysis due to
9 protocol violation. Additionally, pre- and post-intervention (AB: T1 and T2, BA: T2 and T3)
10 scores were compared for the significant measures using paired T-tests.
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13 **Data availability**

14 Anonymized data reported in this manuscript are available from the corresponding author
15 upon reasonable request and subject to approval by the appropriate regulatory committees
16 and officials.
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19 **RESULTS**

20 Clinical and demographic characteristics of the PWAs are presented in Table 1 and their
21 adherence to the intervention and amount of other rehabilitation (standard care) received
22 during the study period in Table 2. The AB and BA groups did not differ significantly in any of
23 these variables. Also, the demographic characteristics of the FCs (n=43, 30 females, mean
24 age 61.4 years) did not differ between AB/BA.
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27 **Communication and spoken language production outcome**

28 The ITT results from communication and spoken language production Time x Group LMM
29 analysis (T1-T2) are presented in Table 3 and Figure 2. There were significant
30 improvements in the AB group compared to the BA group between T1-T2 in the
31 Communication index ($F_{1,45}=8.08$, $p=0.011$, $\eta^2=0.140$) and in the Responsive speech index
32 ($F_{1,45}=4.10$, $p=0.049$, $\eta^2=0.084$). No significant effects were observed in the other
33 measures.
34

35 Within-group longitudinal analyses showed that the Time main effect (T1-T3) in the AB group
36 was significant in the Communication index ($F_{2,37}=6.44$, $p=0.004$, $\eta^2=0.308$) and in the
37 Responsive speech index ($F_{2,37}=6.87$, $p=0.003$, $\eta^2=0.222$). Post hoc pairwise comparisons
38 indicated that in both the Communication and the Responsive speech index the outcome
39 improved between T1-T2 ($p=0.013$ and $p=0.001$) and between T1-T3 ($p=0.002$ and $p=0.009$)
40 but did not change between T2-T3 ($p=0.337$ and $p=0.608$) in the AB group, suggesting that
41 the gains from the intervention were maintained at the longitudinal follow-up. These
42 comparisons survived after FDR-correction. Within the BA group, there were no significant
43 Time (T1-T3) main effects in either index (Communication: $F_{2,47}=2.02$, $p=0.144$, $\eta^2=0.079$;
44 Responsive speech: $F_{2,46}=1.567$, $p=0.220$, $\eta^2=0.068$), but the changes were in a positive
45 direction.
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48 **Functional, emotional and social outcome**

49 The ITT results from functional, emotional, and social outcome measures are shown in Table
50 4 and Figure 3. In the Time x Group LMM analysis, a significant improvement in the AB vs.
51 BA group between T1-T2 was found in the SIS Participation and role function subscale
52 ($F_{1,43}=6.44$, $p=0.015$, $\eta^2=0.139$) and in the Caregiver burden index ($F_{1,40}=6.77$, $p=0.014$,
53 $\eta^2=0.177$). No significant effects were found in the other measures.
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1 In the SIS Participation and role function, there was a significant Time main effect over T1-
2 T3 in the AB group ($F_{2,37}=3.34$, $p=0.047$, $\eta^2=0.129$). Post hoc testing showed that outcome
3 improved between T1-T2 ($p=0.016$) but not between T1-T3 ($p=0.095$) or T2-T3 ($p=0.539$),
4 suggesting that the positive effect of the intervention on the social participation of the PWAs
5 was short-term. These comparisons survived FDR-correction. Within the BA group, there
6 was no significant Time main effect ($F_{2,45}=0.66$, $p=0.520$, $\eta^2=0.019$), but the changes were
7 in a positive direction.
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10 In the Caregiver burden index, there were significant within-group T1-T3 changes in both AB
11 ($F_{2,24}=3.49$, $p=0.047$, $\eta^2=0.226$) and BA ($F_{2,34}=7.07$, $p=0.003$, $\eta^2=0.277$) groups. Pairwise
12 comparisons showed that the burden score decreased significantly in the AB group between
13 T1-T3 ($p=0.015$) whereas in the BA group it increased between T1-T2 ($p=0.012$) and
14 decreased between T2-T3 ($p=0.001$), indicating positive effects of the intervention in both
15 groups. These comparisons survived FDR-correction.
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18 Per protocol analysis

19 A per protocol (PP) analysis using repeated measures ANOVA was conducted for all the
20 outcome measures that showed significant effects in the ITT analyses (T1-T2 Time x Group;
21 see above). The PP results were in line with the LMM approach, yielding a Time (T1-T2) x
22 Group (AB vs. BA) interaction that was significant for the Communication index ($F_{1,41}=5.75$,
23 $p=0.021$, $\eta^2=0.123$) and marginally significant for the Responsive speech index ($F_{1,44}=3.76$,
24 $p=0.059$, $\eta^2=0.079$). Additionally, paired t-tests comparing pre- and post-intervention scores
25 (AB: T1-T2, BA: T2-T3) across both groups revealed a significant improvement in both the
26 Communication index ($t_{42}=-3.80$, $p<0.001$) and the Responsive speech index ($t_{41}=-2.85$,
27 $p=0.007$). In contrast, changes over the control period (AB: T2-T3, BA: T1-T2) were not
28 significant ($t_{42}=-0.16$, $p=0.874$; $t_{43}=-0.73$, $p=0.469$). Together, these findings support the ITT
29 results on the efficacy of the intervention on communication and spoken language
30 production.
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36 DISCUSSION

37 This crossover RCT explored the clinical efficacy of a novel multicomponent singing
38 intervention in chronic aphasia. Our main results between T1 and T2 showed that compared
39 to standard care, the singing intervention (i) enhanced PWAs' everyday communication
40 ability and spoken language production in tasks involving responsive speech (repetition,
41 naming), (ii) improved PWAs' social participation, and (iii) reduced caregiver burden in FCs.
42 These findings indicate that singing-based rehabilitation, which includes both group- and
43 self-training elements and in which also the FCs can actively participate, can have positive
44 effects on both language functions and psychosocial wellbeing, providing social and
45 emotional support for PWAs and their family members. These findings are clinically
46 important because they provide novel evidence that singing-based interventions coupled
47 with standard care, independent of health care resources, may support recovery of chronic
48 aphasia compared to standard care only.
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52 Most previous studies on group-based singing interventions in aphasia²¹⁻²⁴ have utilized non-
53 randomized designs or have been feasibility studies and limited by small sample sizes and
54 they have not included an extensive assessment of communication or spoken language
55 production outcomes. The results of the present trial show for the first time that singing-
56 based rehabilitation that includes both group- and self-training elements can improve
57 everyday communication ability (CAL, SIS Communication) and responsive speech (WAB
58 Repetition and Naming) in chronic aphasia. Previously, similar benefits have been observed
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1 after intensive MIT or other individual-level singing-based interventions in aphasia^{14-18,40,41}.
2 Our evidence for improvement in communication comes from self- and FC-reports, which are
3 naturally not blinded. However, in aphasia care and research, it is important to include the
4 patient's own view as an indicator of subjectively experienced outcome⁴², and FCs can
5 provide valuable complementary information on the everyday functioning and
6 communication of the PWAs, especially in more severe aphasia⁴³. Together with the
7 improved responsive speech observed in standardized tests performed by a blinded
8 investigator, our results capture the broad effects of singing on language function in aphasia.
9 Importantly, the positive effects on both communication ability and responsive speech were
10 maintained five months after the cessation of the intervention, which indicates that the verbal
11 benefits induced by the intervention were robust and durable. No significant changes were
12 found in other tasks measuring spontaneous speech, articulatory agility or verbal memory.
13 One potential explanation for the lack of findings in these tasks could be that singing
14 strengthens more automatic phonological language skills, which are linked to left
15 temporoparietal regions in aphasia, while more motor and cognitive elements of connected
16 speech are linked to left frontal regions in aphasia⁴⁴.

20 Regarding social functioning, the singing intervention showed a positive effect in the SIS
21 Participation and role function subscale, in line with previous studies reporting psychosocial
22 benefits of choir singing in healthy seniors^{19,20} and in PWAs²¹. This effect was short-term and
23 could reflect the increased activity level and the opportunities for engagement, social
24 interaction, and peer support experienced by the PWAs in an enriched communicative
25 environment⁴⁵. No effects were observed on the PWAs' self-reported mood (CES-D) or
26 social support (SPS) or in more generic functional outcome (other SIS scales), which is
27 somewhat surprising, because music-based interventions have previously been linked to
28 mood and QoL benefits in healthy^{19,20} and neurological⁹⁻¹¹ populations. This may reflect the
29 difficulty of questionnaire-based measurement of subjective emotional wellbeing in aphasic
30 patients and the need for a larger sample size to detect effects²⁴.

34 Finally, we observed a long-term reduction in caregiver burden following the singing
35 intervention, in line with similar findings of FCs in dementia⁴⁶. This may be related to the
36 positive self-experienced emotional impact of choir singing^{19,20}, the increased interaction with
37 the PWA and other FCs (including peer support) during the intervention, or to the
38 intervention-induced communicative and psychosocial improvements of the PWA. This
39 finding is important given the high prevalence of mood disorders in the FCs of PWAs⁸.

41 Regarding the commitment and adherence of the patients to the intervention protocol, the
42 attendance rates for group training were high (around 90%), whereas the amount of home
43 training was more variable. Originally, the patients were instructed to have three 30-minute
44 home training sessions each week (total 24 hours over 16 weeks), but the realized total
45 amount of home training was markedly lower (on average 11.9 hours of using the
46 Singalonger; however, there was a lot of individual variability, with some patients training
47 almost 40 hours with the app). There are likely a number of factors contributing to this
48 variability (e.g., motivation, cognitive problems, attitudes, technical issues), which will be
49 separately analyzed and reported along with other intervention and usability feedback as
50 well as dosage issues, important for the applicability of the intervention model.

53 The present study has following potential limitations. First, while being the largest study to
54 date on group-based singing in aphasia, our sample is moderate in size and comprises
55 PWAs of varying severity; in future, large-scale studies are warranted to determine the
56 efficacy of singing across different aphasia types and severity levels. Second, while there
57 was a statistically significant improvement in everyday communication abilities with a large
58 effect size, the direct clinical relevance of this change is not known as there are no
59 standardized estimates for minimal clinically important difference (MCID) for CAL. Third,

1 although the multicomponent nature of the intervention likely contributes to its broad efficacy
2 in both communicative and psychosocial domains, it also precludes making inferences about
3 the contribution of each component (group-based singing, group-MIT, tablet-based home
4 training) on the outcomes. Fourth, the crossover design bears some methodological
5 considerations: due to the possible carry-over effect in the AB group between T2-T3,
6 intervention effects in the BA group could not be reliably estimated (compared to standard
7 care). To gain a more comprehensive understanding of the changes over the entire follow-up
8 period (T1-T3), we performed within-group analysis in both intervention groups. Whereas the
9 AB group showed significant findings consistent with our hypothesis between T1-T2 (with
10 treatment-induced gains in communication, responsive speech and caregiver burden
11 maintained also longitudinally up to T3), the changes in the BA group between T2-T3 were in
12 a positive direction, but did not reach statistical significance, with the exception of caregiver
13 burden. However, a pooled analysis of the AB and BA groups showed significant
14 improvement in communication and responsive speech over the intervention period whereas
15 there were no significant changes during the control period. It is possible that motivational
16 factors play some role, as the BA group had to wait 5 months (and undergo two assessment
17 points) before receiving the intervention. Furthermore, while the groups did not show
18 significant differences in clinical or biographical background information associated with
19 therapy response in chronic aphasia⁴⁷, there might be specific biographical,
20 neuropsychological, or neurobiological factors influencing treatment response to singing-
21 based interventions in chronic aphasia that have remained yet uncharted. Future multimodal
22 studies exploring the predictors of therapy response to singing interventions are needed and
23 would help clinicians to individualize treatment strategies to optimize recovery.

24 Despite these limitations, the observed effects are encouraging in suggesting that singing
25 may be a potential tool to promote communicative and psychosocial outcome even in
26 chronic post-stroke aphasia as well as provide a meaningful joint activity for PWAs and FCs
27 that can also alleviate the burden experienced by the caregivers. Importantly, these positive
28 findings (i) provide further support for recent evidence that rehabilitation interventions can
29 achieve significant improvements in core outcomes, such as motor, cognitive or verbal
30 abilities, still in the chronic stage, years after stroke^{5,6,48} and that (ii) singing-based
31 interventions can be a powerful tool to unlock communicative skills in chronic aphasia,
32 possibly mediated by the largely bilateral engagement of vocal-motor and auditory brain
33 regions associated with singing^{13,49-51}. Notably, the multicomponent singing intervention used
34 in the present study included elements of choir singing, singing-based speech training,
35 tablet-assisted home training, and PWA-FC interaction and was implemented by two
36 professionals with expertise on music therapy in neurological patients and on choir
37 conduction and singing instruction. In conclusion, our results demonstrate this type of novel
38 intervention model provides a versatile, motivating, scalable, and potentially cost-effective
39 approach to aphasia rehabilitation.

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Competing interests

The authors declare that they have no competing interests.

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FIGURE LEGENDS

Figure 1. Flowchart outlining the design and progress of the trial. LMM, linear mixed effects model; T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

Figure 2. Communication and speech production results from the LMM analysis (N = 50). (A) Communication index ($F = 7.082$, $p = 0.011$); (B) Responsive speech index ($F = 4.100$, $p = 0.049$). AB received the intervention from T1 to T2, and BA received the intervention from T2 to T3. The statistical method used in this study was the linear mixed effects model analysis (LMM). The bar plots (mean – SEM) show changes in test scores over the three time-points (T1-T3) presented group-wise (AB/BA). Significant Time x Group interactions are shown with solid gray lines and significant within-group Time main effects are shown with dashed gray lines. LMM, linear mixed effects model; SEM, standard error of the mean, T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

Figure 3. Functional outcome and caregiver burden results from the LMM analysis (N = 50). (A) SIS Participation and role function ($F = 6.440$, $p = 0.015$); (B) Caregiver burden as measured by GHQ-12 and ZBI-22 ($F = 6.765$, $p = 0.014$). AB received the intervention from T1 to T2, and BA received the intervention from T2 to T3. The statistical method used in this study was the linear mixed effects model analysis (LMM). The bar plots (mean – SEM) show changes in test scores over the three time-points (T1-T3) presented group-wise (AB/BA). Significant Time x Group interactions are shown with solid gray lines. Time main effects are shown with dashed gray lines. GHQ-12, General Health Questionnaire 12; LMM, linear mixed effects model; SEM, standard error of the mean; SIS, Stroke Impact Scale; T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month); ZBI-22, Zarit Burden Interview 22.

TABLES

Table 1. Baseline clinical and demographic background information of the PWAs

	All (n=50)	AB (n=23)	BA (n=27)	Difference between groups (P value)
Demographic information				
Age	64.0 (12.3)	63.5 (10.3)	64.5 (14.0)	0.787 (t)
Sex (female / male)	28 / 22	11 / 12	17 / 10	0.283 (χ^2)
Handedness (right / left)	42 / 8	21 / 2	21 / 6	0.261 (F)
Education level ¹	2.9 (1.4)	3.0 (1.4)	2.9 (1.4)	0.965 (t)
Clinical information				
Etiology of injury (ischemic / hemorrhagic / both / TBI)	28 / 16 / 3 / 1	14 / 6 / 2 / 0	14 / 10 / 1 / 1	0.641 (F)
Time since injury (months)	73.3 (68.4)	76.0 (69.5)	71.0 (68.7)	0.789 (t)
Aphasia severity (mild/ moderate or severe) ²	34 / 16	14 / 9	20 / 7	0.318 (χ^2)
Musical background				
Choir singing years	3.1 (9.2)	4.0 (11.4)	2.0 (5.2)	1.000 (U)
Singing lessons years	0.5 (2.8)	0.1 (0.5)	0.8 (3.7)	0.671 (U)
Instrument lessons years	1.4 (3.2)	0.6 (1.4)	2.3 (4.2)	0.201 (U)

Data are mean (SD) unless otherwise stated. Abbreviations: t = independent-samples t-test; F = Fisher's exact test; U = Mann-Whitney U-test; χ^2 = Chi-squared test. ¹Education level according to the Unesco International Standard Classification of Education: range 1 (primary education) to 6 (doctoral or equivalent level). ²Aphasia severity based on the WAB Aphasia Quotient rate, score 0-50 = severe, score 51-100 = mild/moderate.

Table 2. Amount of standard rehabilitation and group and home training

	All (n=50)	AB (n=23)	BA (n=27)	Difference between groups (P value)
T1 – T3				
Home training ¹	11.9 (9.8)	13.7 (11.0)	10.4 (8.6)	0.321 (U)
Group training (attendance rate)	90.1 % (14.0)	89.0 % (18.0)	92.4 % (9.4)	0.426 (t)
Speech therapy	9.75 (12.4)	7.8 (12.4)	11.3 (12.5)	0.232 (U)
Physical therapy	8.9 (15.0)	9.2 (16.2)	8.8 (14.4)	0.848 (U)
Occupational therapy	1.8 (4.3)	1.8 (5.2)	1.7 (3.6)	0.740 (U)
Neuropsychological rehabilitation	0.2 (0.8)	0.1 (0.4)	0.3 (1.0)	0.663 (U)
T1 – T2				
Speech therapy	6.5 (8.6)	4.2 (2.6)	7.3 (7.5)	0.332 (U)
Physical therapy	6.2 (9.0)	3.7 (5.4)	5.1 (7.3)	0.778 (U)
Occupational therapy	1.4 (3.7)	0.1 (4.1)	0.9 (1.9)	0.751 (U)
Neuropsychological rehabilitation	0.4 (1.6)	0.0 (0.0)	0.3 (1.0)	0.724 (U)
T2 – T3				
Speech therapy	4.1 (5.8)	4.4 (6.5)	5.1 (5.8)	0.288 (U)
Physical therapy	4.1 (7.4)	3.8 (6.1)	4.2 (8.4)	0.566 (U)
Occupational therapy	0.5 (2.0)	0.1 (0.2)	1.1 (2.8)	0.386 (U)
Neuropsychological rehabilitation	0.1 (0.2)	0.1 (0.4)	1.0 (0.0)	0.258 (U)

Data are mean (SD) in hours unless otherwise stated. ¹Based on Singalonger log files. Abbreviations: U = Mann-Whitney U-test; t = independent-samples t-test; T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

Table 3. Communication and spoken language outcome results from LMM analysis

Measure	Group	T1 mean (SD)	T2 mean (SD)	T3 mean (SD)	Observations T1/T2/T3	Baseline diff.	Δ T1-T2 (F value)	Δ T1-T2 (P value)
Communication								
Communication index (percentage) ¹	AB	51.6 (21.6)	57.1 (21.0)	60.2 (22.4)	50 / 47 / 40	0.219	7.082	0.011
	BA	58.6 (18.4)	57.4 (20.9)	63.0 (18.0)				
Spoken language production								
Spontaneous speech index (percentage) ¹	AB	60.7 (32.8)	62.6 (32.7)	63.4 (32.4)	50 / 47 / 42	0.223	0.264	0.610
	BA	70.2 (30.0)	71.5 (30.3)	72.4 (29.8)				
Responsive speech index (percentage) ¹	AB	55.0 (33.5)	56.7 (34.3)	57.9 (34.5)	50 / 47 / 40	0.276	4.100	0.049
	BA	65.5 (33.8)	65.9 (33.7)	66.7 (33.4)				
Articulatory agility (percentage) ¹	AB	49.0 (29.5)	49.7 (31.5)	47.4 (31.2)	49 / 47 / 42	0.442	0.308	0.582
	BA	55.6 (33.8)	54.1 (33.5)	58.7 (34.5)				
Verbal memory								
Verbal memory index (percentage) ¹	AB	27.1 (15.6)	26.6 (16.6)	29.4 (16.5)	50 / 47 / 42	0.370	2.022	0.191
	BA	30.1 (15.5)	31.9 (16.0)	33.2 (18.7)				

¹Higher score indicates better outcome. T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

Table 4. Functional, social, mood and cognitive outcomes analyzed using LMM

Measure	Group	T1 mean (SD)	T2 mean (SD)	T3 mean (SD)	Observations T1/T2/T3	Baseline diff.	Δ T1-T2 (F value)	Δ T1-T2 (P value)
Functional impairment								
SIS Physical functioning (percentage)	AB	37.7 (23.7)	37.2 (25.0)	35.5 (26.1)	48 / 47 / 40	0.613	0.223	0.639
	BA	34.1 (23.7)	34.9 (25.2)	32.6 (22.6)				
SIS Emotion (percentage)	AB	29.3 (17.2)	27.1 (14.2)	23.9 (19.3)	50 / 47 / 40	0.703	0.799	0.376
	BA	27.6 (10.3)	27.3 (10.4)	23.5 (12.0)				
SIS Memory & thinking (percentage)	AB	30.1 (19.8)	27.6 (20.4)	25.7 (20.9)	50 / 47 / 40	0.386	1.312	0.258
	BA	25.5 (17.5)	25.8 (19.9)	23.2 (17.5)				
SIS Participation & role function (percentage)	AB	47.7 (24.9)	38.3 (23.3)	42.1 (31.5)	47 / 47 / 40	0.537	6.440	0.015
	BA	43.4 (22.5)	46.3 (26.5)	38.7 (29.0)				
Mood (depression)								
CES-D total score (percentage)	AB	27.8 (13.1)	25.2 (14.7)	22.14 (12.6)	50 / 47 / 40	0.613	0.136	0.715
	BA	29.5 (11.2)	28.0 (10.6)	29.9 (12.4)				
Social support								
SPS total score (percentage) ¹	AB	82.21(12.6)	80.1 (12.1)	79.2 (11.8)	50 / 46 / 40	0.325	0.136	0.677
	BA	79.0 (12.0)	78.1 (10.6)	76.1 (9.5)				
Caregiver burden								
Caregiver burden index (percentage)	AB	32.4 (14.3)	30.4 (12.1)	24.7 (16.19)	37 / 36 / 28	0.548	6.765	0.014
	BA	29.5 (15.4)	35.2 (15.6)	27.8 (15.6)				

¹Higher score indicates better outcome. T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

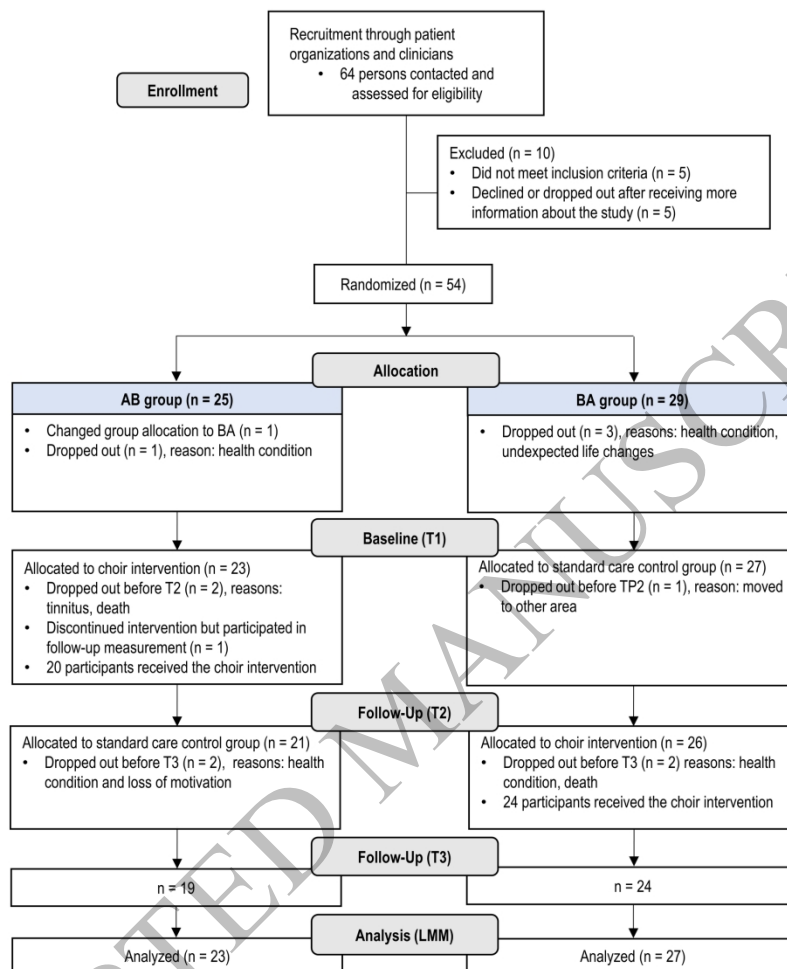


Figure 1. Flowchart outlining the design and progress of the trial. LMM, linear mixed effects model; T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

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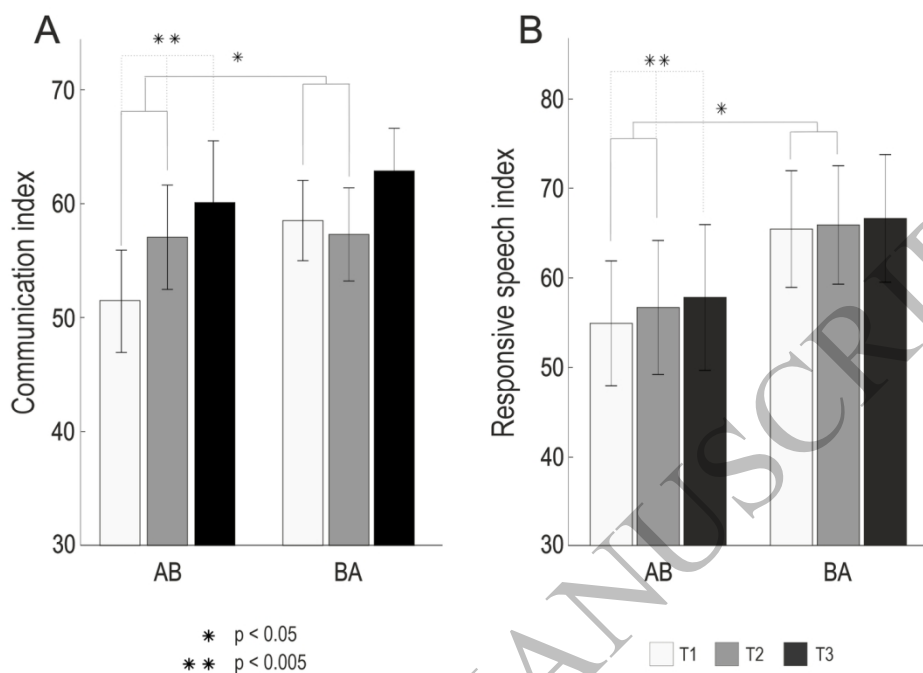


Figure 2. Communication and speech production results from the LMM analysis (N = 50). (A) Communication index (F = 7.082, p = 0.011); (B) Responsive speech index (F = 4.100, p = 0.049). AB received the intervention from T1 to T2, and BA received the intervention from T2 to T3. The statistical method used in this study was the linear mixed effects model analysis (LMM). The bar plots (mean – SEM) show changes in test scores over the three time-points (T1-T3) presented group-wise (AB/BA). Significant Time x Group interactions are shown with solid gray lines and significant within-group Time main effects are shown with dashed gray lines. LMM, linear mixed effects model; SEM, standard error of the mean, T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month).

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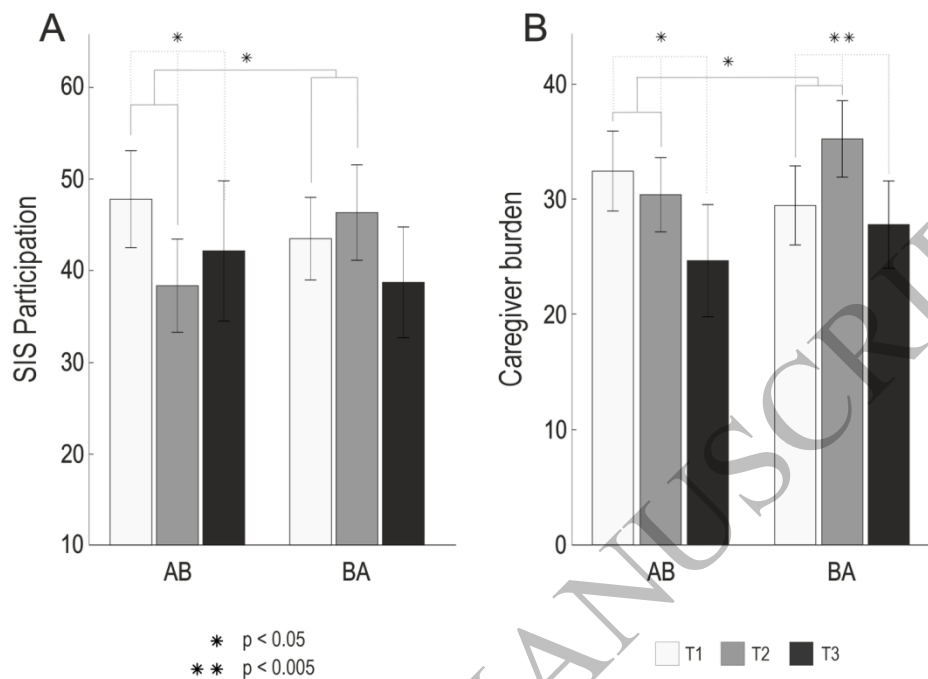
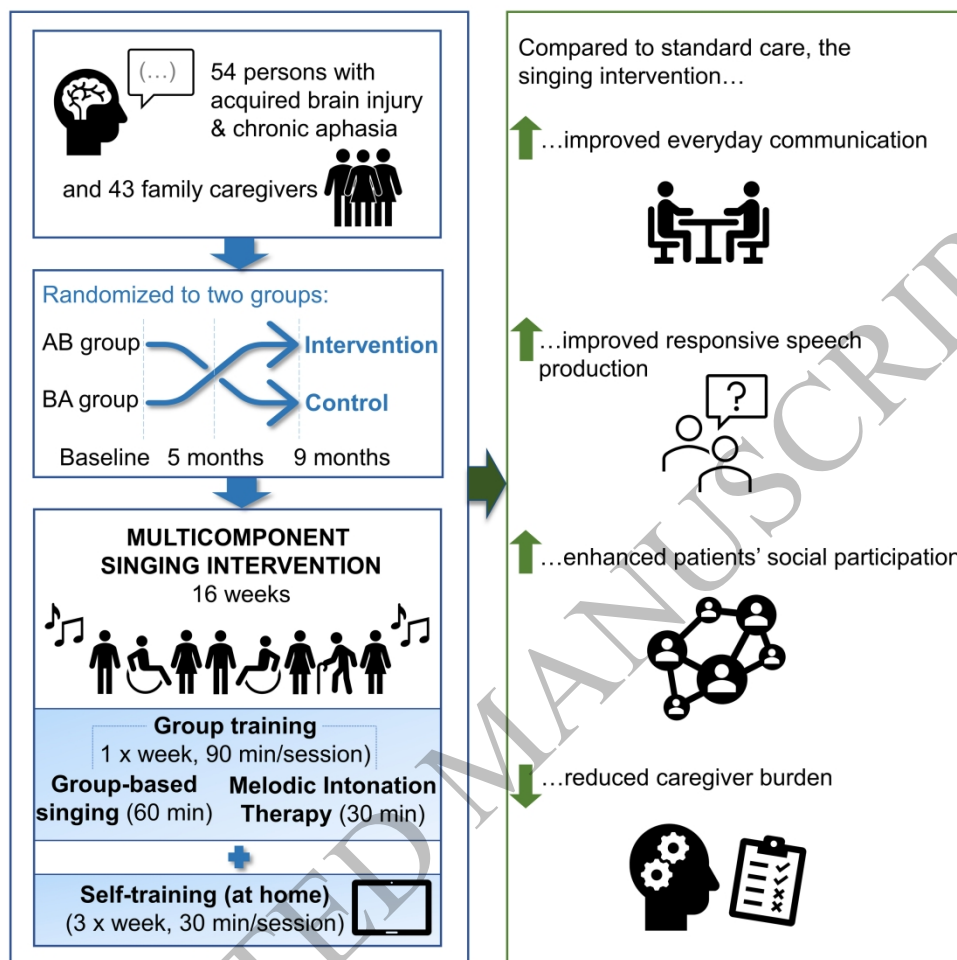


Figure 3. Functional outcome and caregiver burden results from the LMM analysis (N = 50). (A) SIS Participation and role function ($F = 6.440$, $p = 0.015$); (B) Caregiver burden as measured by GHQ-12 and ZBI-22 ($F = 6.765$, $p = 0.014$). AB received the intervention from T1 to T2, and BA received the intervention from T2 to T3. The statistical method used in this study was the linear mixed effects model analysis (LMM). The bar plots (mean - SEM) show changes in test scores over the three time-points (T1-T3) presented group-wise (AB/BA). Significant Time x Group interactions are shown with solid gray lines. Time main effects are shown with dashed gray lines. GHQ-12, General Health Questionnaire 12; LMM, linear mixed effects model; SEM, standard error of the mean; SIS, Stroke Impact Scale; T1, timepoint 1 (baseline), T2 timepoint 2 (5-month), T3 timepoint 3 (9-month); ZBI-22, Zarit Burden Interview 22.

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Graphical Abstract

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